

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/869,275	06/04/97	WITTMER	C T8616.CIP5

020451  
GRANT R CLAYTON  
THORPE NORTH WESTERN  
9035 SOUTH 700 EAST SUITE 200  
SANDY UT 84070

0292/0905

NOT ASSIGNED

DATE MAILED: 2505

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

09/05/97

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 4786.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 770.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 4086.00, including any 02/02/1998 SBLIZAR 00000017 DAH:200100 08869275  
01 FC:103 2706.00 OP  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are 02/02/1998 SBLIZAR 00000017 DAH:200100 08869275

☒ 3. The oath or declaration:

- ☒ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

Adjustment date: 02/02/1998 SBLIZAR 00000017 DAH:200100 08869275  
12/03/1997 EVILLIAR 00000029 08869275  
02 FC:1995

The signature of the omitted inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

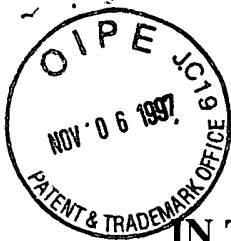
- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.27).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."  
☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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01 FC:103 2706.00 OP  
02/02/1998 SBLIZAR 00000017 DAH:200100 08869275  
02 FC:1995



PATENT APPLICATION  
Docket: 8616.CIP.5

*Sector*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

CARL T. WITTWER, ET AL

Serial No.:

08/869,275

Filed:

June 4, 1997

For: SYSTEM AND METHOD FOR  
CARRYING OUT AND  
MONITORING BIOLOGICAL  
PROCESSES

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

BOX MISSING PART

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed September 5, 1997, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) Declaration, Power of Attorney and Petition executed by Carl T. Wittwer, Kirk M. Ririe, Randy P. Rasmussen and David R. Hillyard;
- (2) A copy of the Notice to File Missing Parts of Application - Filing Date Granted;
- (3) Assignment from Carl T. Wittwer, Kirke M. Ririe, Randy P. Rasmussen and David R. Hillyard to University of Utah;
- (4) Assignment from University of Utah to University of Utah Research Foundation;

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on November 3, 1997.

*Grant K. Clayton*  
Grant K. Clayton  
Attorney for Applicant

- (5) Check No. 1481 in the amount of \$5,066.00 to cover the total filing fees, including the surcharge fee pursuant to 37 C.F.R. § 1.16(e).

These fees have been calculated as follows:

Basic filing fee for a Large Entity	= \$	770.00
Additional claim fees	=	4,086.00
2 Assignment recordation fees	=	80.00
Surcharge fee for a Large Entity	=	130.00
<b>TOTAL</b>	<b>= \$</b>	<b><u>5,066.00</u></b>

Please debit any additional fees, or credit any overpayment, to Deposit Account No. 20-0100.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

x Any additional filing fees required under 37 C.F.R. § 1.16.

x Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100.


x Any patent application processing fees under 37 C.F.R. § 1.17.

— The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 30 day of November, 1997.

Respectfully submitted,

  
**THORPE, NORTH & WESTERN, L.L.P.**

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